# **Boolean Functions for stream ciphers**

**Anne Canteaut** 

INRIA-Rocquencourt projet CODES Anne.Canteaut@inria.fr http://www-rocq.inria.fr/codes/Anne.Canteaut/

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# Outline

- Basic properties of Boolean functions for LFSR-based generators
- Other representations of Boolean functions
- Correlation attacks and related criteria
- Distance to affine functions and Walsh transform
- Algebraic attacks and related criteria
- Some practical constructions

# Basic properties of Boolean functions for LFSR-based generators

# **Boolean functions**

**Definition.** A Boolean function of n variables is a function from  $F_2^n$  into  $F_2$ .

Truth table of a Boolean function.

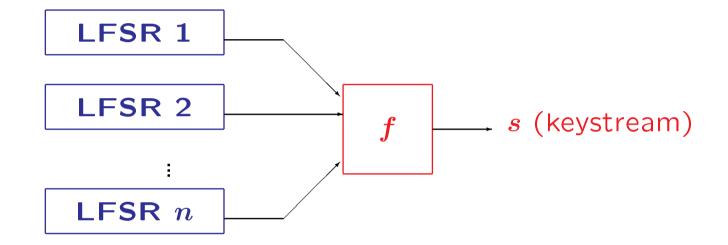
$x_1$	0	1	0	1	0	1	0	1
$x_2$	0	0	1	1	0	0	1	1
$x_3$	0	0	0	0	1	1	1	1
$f(x_1,x_2,x_3)$	0	1	0	0	0	1	1	1

# Hamming weight of a Boolean function.

The Hamming weight of a Boolean function f, wt(f), is the Hamming weight of its value vector.

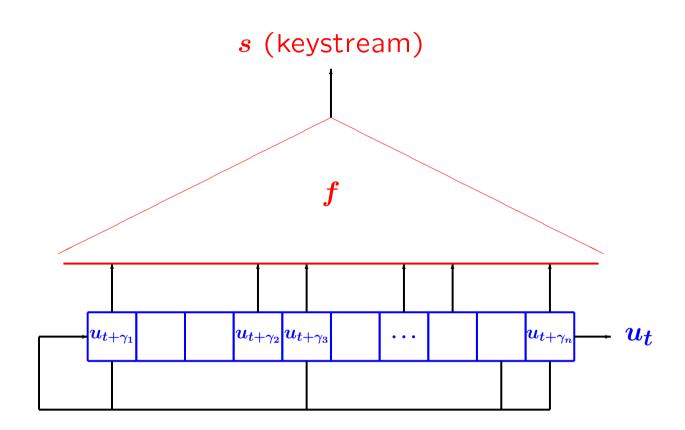
A function of n variables is balanced if and only if  $wt(f) = 2^{n-1}$ .

# **Combination generator**



where f is a balanced Boolean function of n variables.

# Filter generator



 $\forall t \geq 0, \ s_t = f(u_{t+\gamma_1}, u_{t+\gamma_2}, \dots, u_{t+\gamma_n})$ 

#### Algebraic normal form (ANF)

Monomials in 
$$\mathrm{F}_2[x_1,\ldots,x_n]/(x_1^2+x_1,\ldots,x_n^2+x_n)$$
:

$$\{x^u, \ u \in \mathrm{F}_2^n\}$$
 where  $x^u = \prod_{i=1}^n x_i^{u_i}.$ 

Example:  $x^{1011} = x_1 x_3 x_4$ .

### **Proposition.**

Any Boolean function of n variables has a unique polynomial representation in  $F_2[x_1, \ldots, x_n]/(x_1^2 + x_1, \ldots, x_n^2 + x_n)$ :

$$f(x_1,\ldots,x_n)=\sum_{u\in \mathrm{F}_2^n}a_ux^u, \;\; a_u\in \mathrm{F}_2.$$

Moreover, the coefficients of the ANF and the values of f satisfy:

$$a_u = igoplus_{x \preceq u} f(x)$$
 and  $f(u) = igoplus_{x \preceq u} a_x,$ 

where  $x \preceq y$  if and only if  $x_i \leq y_i$  for all  $1 \leq i \leq n$ .

# Computing the ANF

$x_1$	0	1	0	1	0	1	0	1
$x_2$	0	0	1	1	0	0	1	1
$x_3$	0	0	0	0	1	1	1	1
$f(x_1,x_2,x_3)$	0	1	0	0	0	1	1	1

$$egin{aligned} a_{000} &= f(000) = 0 \ a_{100} &= f(100) \oplus f(000) = 1 \ a_{010} &= f(010) \oplus f(000) = 0 \ a_{110} &= f(110) \oplus f(010) \oplus f(100) \oplus f(000) = 1 \ a_{001} &= f(001) \oplus f(000) = 0 \ a_{101} &= f(101) \oplus f(001) \oplus f(100) \oplus f(000) = 0 \ a_{011} &= f(011) \oplus f(001) \oplus f(010) \oplus f(000) = 1 \ a_{111} &= \bigoplus_{x \in \mathrm{F}_2^3} f(x) = wt(f) \ \mathrm{mod} \ 2 = 0 \end{aligned}$$

$$f = x_1 + x_1 x_2 + x_2 x_3.$$

## Degree and linear complexity

# Definition.

The degree of a Boolean function is the degree of the largest monomial in its ANF.

**Proposition.** The weight of an n-variable function f is odd if and only if deg f = n.

# **Degree and linear complexity of the combination generator. Proposition.** [Rueppel - Staffelbach 87]

For n LFSRs with primitive feedback polynomials and distinct lengths, the linear complexity of the keystream sequence generated by the combination of these LFSR by f is

 $\Lambda = f(L_1, \ldots, L_n)$ 

where f is evaluated over integers.

# Example: Geffe generator (1973)

 $f(x_1, x_2, x_3) = x_1 + x_1 x_2 + x_2 x_3. \Longrightarrow \Lambda = L_1 + L_1 L_2 + L_2 L_3.$ 

# **Degree and linear complexity (2)**

# Degree and linear complexity of the filter generator.

# Proposition. [Key76, Rueppel 86]

The linear complexity  $\Lambda$  of the keystream sequence generated by an LFSR of length L filtered by f satisfies

$$\Lambda \leq \sum_{i=0}^{\deg f} inom{L}{i}.$$

Moreover, if L is a large prime,

$$\Lambda \geq egin{pmatrix} L \ \deg f \end{pmatrix}$$

for most filtering functions.

#### **Degree and basic algebraic attacks**

# Communication Theory of Secrecy Systems (1949), page 711.

"Using functional notation we have for enciphering E = f(K, M).

Given (or assuming)  $M = m_1, m_2, \ldots, m_s$  and  $E = e_1, e_2, \ldots, e_s$ , the cryptanalyst can set up equations for the different key elements  $k_1, k_2, \ldots, k_r$  (namely the enciphering equations).

$$e_{1} = f_{1}(m_{1}, m_{2}, \dots, m_{s}; k_{1}, \dots, k_{r})$$

$$e_{2} = f_{2}(m_{1}, m_{2}, \dots, m_{s}; k_{1}, \dots, k_{r})$$

$$\vdots$$

$$e_{s} = f_{s}(m_{1}, m_{2}, \dots, m_{s}; k_{1}, \dots, k_{r})$$

All is known, we assume, except the  $k_i$ . Each of these equations should therefore be complex in the  $k_i$ , and involve many of them. Otherwise the enemy can solve the simple ones and then the more complex ones by substitution."

## Shannon's attack on LFSR-based stream ciphers

Set up the enciphering equations:

$$\left\{egin{array}{ll} s_0&=&f(x_0,\ldots,x_{L-1})\ s_1&=&f\circ\mathcal{L}(x_0,\ldots,x_{L-1})\ s_t&=&f\circ\mathcal{L}^t(x_0,\ldots,x_{L-1}) \end{array}
ight.$$

System of equations with L variables of degree  $d = \deg(f)$  .

 $\implies$  Solve the system by linearization

$$\sum\limits_{i=1}^{d} inom{n}{i} \simeq rac{L^d}{d!}$$
 keystream bits

Time complexity:  $L^{3d}$  operations .

# Other representations of Boolean functions

## **Reed-Muller codes**

## Definition. [Reed 54], [Muller54]

The Reed-Muller code of length  $2^n$  and order r, RM(r, n), is the linear code formed by the value vectors of all Boolean functions of n variables and degree at most r.

**Proposition.** RM(r, n) has minimum distance  $2^{n-r}$ .

# Complexity of a Boolean function [Wegener 87]

 $C_{\Omega}(f) =$  smallest number of gates of a circuit computing f, whose gates belong to  $\Omega$ .

Usually,  $\Omega = \mathcal{B}_2$ , set of Boolean functions of 2 variables.

For Programmable Logic-Arrays,  $\Omega = (\land, \lor, \neg)$ .

### Example.

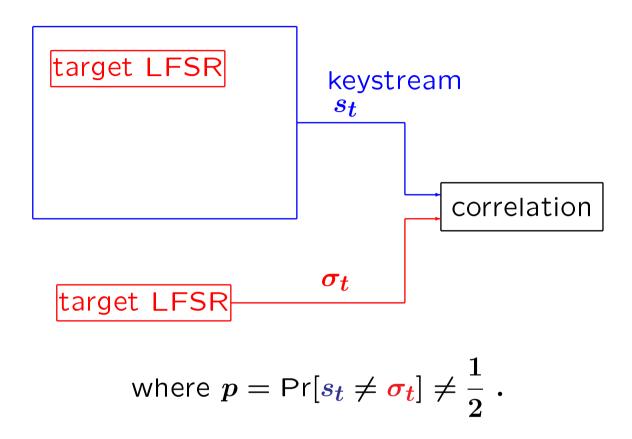
- $x_1x_2 + x_1x_3 + x_1x_4 + x_1x_5 + x_2x_3 + x_2x_4 + x_2x_5 + x_3x_4 + x_3x_5 + x_4x_5 19$  gates.
- $[(z + x_4)(z + x_5) + z] + [y(x_1 + x_3) + x_1]$ with  $z = y + x_3$  and  $y = x_1 + x_2$  — 10 gates

# The Shannon effect [Shannon 49], [Lupanov 70]

For all  $n \ge 9$ , "almost all" Boolean functions of n variables have complexity  $C_{\mathcal{B}_2}$  greater than  $2^n/n$ .

# Correlation attacks and related criteria

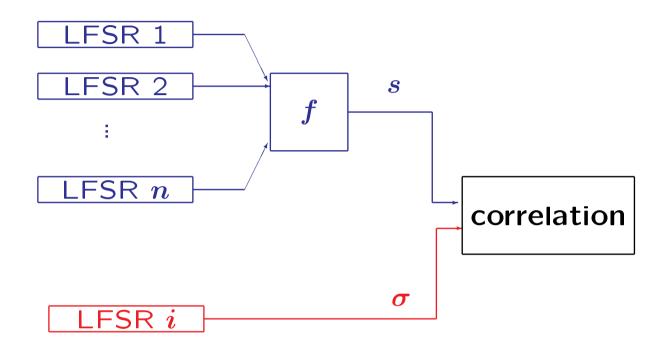
# **Correlation attack [Siegenthaler 85]**



#### **Problem:**

Recover the initial state of the target register from the knowledge of some keystream bits.

# **Correlation attack on a combination generator**



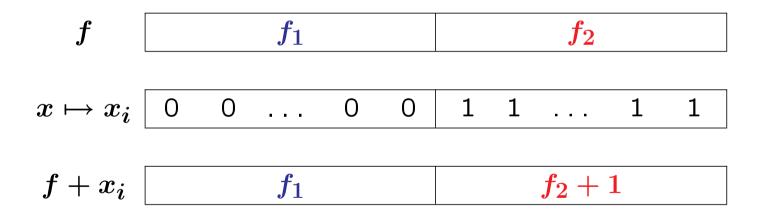
with 
$$\Pr[f(x_1,\ldots,x_n)
eq x_i]=P[s_t
eq \sigma_t]
eq rac{1}{2}$$
 .

#### **Correlation-immune functions**

$$\mathsf{Pr}[f(X_1,\ldots,X_n)=1|m{X_i}=1]=\mathsf{Pr}[f(X_1,\ldots,X_n)=1|m{X_i}=0]$$
 .

#### In terms of Hamming distance

$$x \in \mathbf{F}_2^n, x_i = 0$$
  $x \in \mathbf{F}_2^n, x_i = 1$ 



f correlation-immune:  $wt(f_1) = wt(f_2)$ .

 $\iff d(f, x_i) = wt(f_1) + wt(f_2 + 1) = wt(f_1) + (2^{n-1} - wt(f_2)) = 2^{n-1}$ .

# Correlation-immunity of order t [Siegenthaler 84]

**Definition.** A Boolean function f of n variables is t-th order correlationimmune if, for any subset  $T \subset \{1, \ldots, n\}$ , |T| = t, for any  $a \in F_2^t$ ,

 $\Pr[f(X_1,\ldots,X_n)=1|\forall i\in T, X_i=a_i]=\Pr[f(X_1,\ldots,X_n)=1]$ .

# **Proposition.** [Xiao-Massey88] f is t-th order correlation-immune if and only if for all $lpha\in { m F}_2^n$ with $1\leq wt(lpha)\leq t$ , $d(f,lpha\cdot x)=2^{n-1}$ .

**Definition.** A t-resilient function is a balanced t-th order correlationimmune function.

 $\implies$  The correlation-immunity order of a combining function must be high.

# **Theorem.** [Siegenthaler 84]

Let f be a Boolean function of n variables. Then, its correlationimmunity order t satisfies

$$\deg(f) + t \le n$$

Moreover, if f is balanced,

$$\deg(f) + t \le n - 1$$

# Distance to affine functions and Walsh transform

#### Imbalance of a Boolean function.

For any Boolean function f of n variables

$$\mathcal{F}(f)=\sum_{x\in \mathrm{F}_2^n}(-1)^{f(x)}=2^n-2wt(f).$$

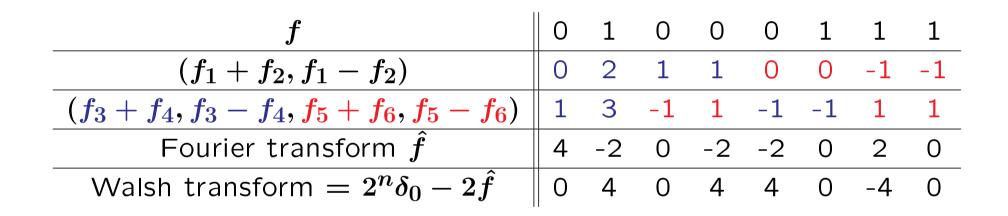
Linear functions of n variables.

$$\varphi_a: x \longmapsto a \cdot x$$

Walsh transform of a function f of n variables

$$egin{array}{rcl} \mathrm{F}_2^n &\longrightarrow \mathrm{C} \ a &\longmapsto \mathcal{F}(f+arphi_a) = \sum_{x\in\mathrm{F}_2^n} (-1)^{f(x)+a\cdot x} \end{array}$$

#### Computing the Walsh transform



#### Some basic properties of the Walsh transform

Lemma:

$$\sum_{x\in \mathrm{F}_2^n} (-1)^{a\cdot x} = \left\{egin{array}{cc} 2^n & ext{if } a=0\ 0 & ext{otherwise} \end{array}
ight.$$

**Proposition.** The Walsh transform is an involution (up to a multiplicative constant).

$$\sum_{a \in F_2^n} \mathcal{F}(f + \varphi_a) (-1)^{a \cdot x} = \sum_{u \in F_2^n} \sum_{a \in F_2^n} (-1)^{f(u) + a \cdot u + a \cdot x}$$
$$= \sum_{u \in F_2^n} (-1)^{f(u)} \sum_{a \in F_2^n} (-1)^{a \cdot (x+u)}$$
$$= 2^n (-1)^{f(x)}$$

Parseval equality.

$$\sum_{a\in \mathrm{F}_2^n}\mathcal{F}^2(f+arphi_a)=2^{2n}.$$

# **Proposition.**

For any  $a\in \mathrm{F}_2^n$ ,

$$\mathcal{F}(f + \varphi_a) \equiv \mathcal{F}(f) ext{ mod } 2^{\lceil rac{n}{\deg f} \rceil + 1}.$$

In particular,

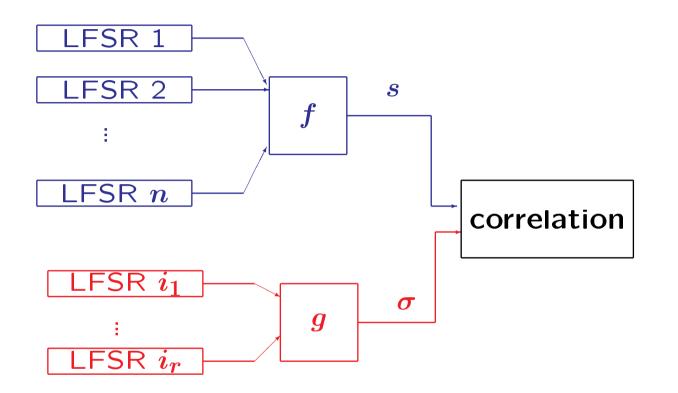
$$egin{array}{rl} \mathcal{F}(f+arphi_a) &\equiv& 2 egin{array}{c} 2 egin{array}{c} \mathrm{mod} \ 4 egin{array}{c} 4 egin{array}{c} \mathrm{deg} \ f=n \ \end{array} \ &\equiv& 0 egin{array}{c} 0 egin{array}{c} \mathrm{mod} \ 4 egin{array}{c} \mathrm{deg} \ f$$

## Nonlinearity of a Boolean function

Nonlinearity of  $f: F_2^n \to F_2$ : Hamming distance of f to  $RM(1, n) = \{\varphi_a + \varepsilon, a \in F_2^n, \varepsilon \in F_2\}.$ 

$$2^{n-1} - rac{1}{2} \mathcal{L}(f) \qquad ext{ where } \mathcal{L}(f) = \max_a |\mathcal{F}(f + arphi_a)| \;.$$

# **Generalization of Siegenthaler's attack**



where g is an r-variable function such that

$$p_g = \Pr[f(x_1,\ldots,x_r,x_{r+1},\ldots,x_n) = g(x_1,\ldots,x_r)] > rac{1}{2}$$

## Approximation of f by a function of fewer variables [Zhang-Chan 00][C.-Trabbia 00][C. 02]

**Proposition.** 

$$\max_{g \in \mathcal{B}oo\ell_r} \left| p_g - \frac{1}{2} \right| \leq \frac{1}{2^{n+1}} \left( \sum_{\lambda \in \mathrm{F}_2^r} \mathcal{F}^2(f + \varphi_{\lambda,0}) \right)^{1/2}$$

In particular:

• For f balanced,

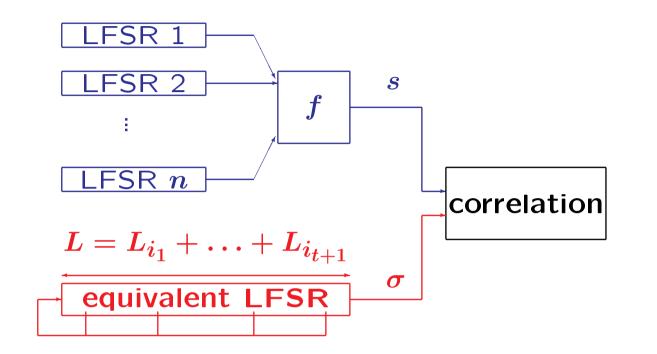
$$p_g = \frac{1}{2}$$
 for any  $g$  depending on  $t$  variables

if and only if f is t-resilient.

• The best approximation of a t-resilient function f by a function of (t+1) variables is affine:  $g = x_{i_1} + \ldots + x_{i_{t+1}} + \varepsilon$ .

• 
$$\max_g \left| p_g - \frac{1}{2} \right| \leq 2^{\frac{r}{2} - n - 1} \mathcal{L}(f).$$

#### Generalization of Siegenthaler's attack



$$\begin{aligned} \Pr[s_t \neq \sigma_t] - \frac{1}{2} &= \Pr[f(x_1, \dots, x_n) \neq x_1 + \dots + x_{t+1})] - \frac{1}{2} \\ &= \frac{1}{2^{n+1}} \mathcal{F}(f + \varphi_v) \end{aligned}$$

where v is the vector which equals 1 on its first (t+1) coordinates.

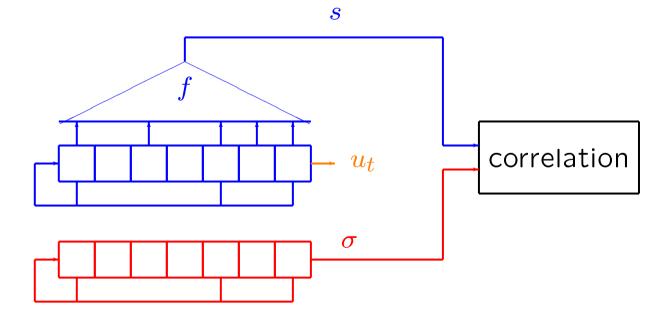
#### Correlation attack on a filter generator

Let  $a \in \mathbf{F}_2^n$  which minimizes

$$p_a = \Pr[f(x_1, \dots, x_n) \neq \varphi_a] = \Pr[s_t \neq \sigma_t]$$

where  $\sigma_t = \varphi_a(u_{t+\gamma_1}, \ldots, u_{t+\gamma_n}).$ 

The sequence  $\sigma$  is produced by an LFSR with the same feedback polynomial but with initial state  $\varphi_a(u_{t+\gamma_1},\ldots,u_{t+\gamma_n}), \ 0 \leq t < L$ .



## Boolean functions with a high nonlinearity (1)

Proposition.

$$2^{rac{n}{2}} \leq \min_{f \in \mathcal{B}ool_n} \mathcal{L}(f) \leq 2^{rac{n+2}{2}}$$

where the lower bound is tight if and only if n is even and f is bent.

Some properties of bent functions. [Rothaus 76][Dillon 74] Let f be a bent function of n variables.

- $\forall a \in \mathbf{F}_2^n, \ \mathcal{F}(f + \varphi_a) = \pm 2^{rac{n}{2}}.$  In particular, f is not balanced.
- deg  $f \leq \frac{n}{2}$ .

# **Quadratic functions.**

For n odd, n=2t+1

$$x_1x_2 + x_3x_4 + \ldots + x_{2t-1}x_{2t} + x_{2t+1}$$
  
satisfies  $\mathcal{L}(f) = 2^{rac{n+1}{2}}$ . Moreover,  $f$  is balanced and  $orall a \in \mathrm{F}_2^n, \ \mathcal{F}(f + arphi_a) \in \{0, \pm 2^{rac{n+1}{2}}\}.$ 

$\boldsymbol{n}$	$\Big  \min_{f \in \mathcal{B}ool_n} \mathcal{L}(f) \Big $	
5	8	[Berlekamp-Welch 72]
7	16	[Mykkelveit 80]
9	24, 26, 28, 30	[Kavut-Maitra-Yücel 06]
11	46-60	
13	92-120	
15	182-216	[Paterson-Wiedemann 83]

**Open problem.** Find the highest possible nonlinearity for a Boolean function of n variables, where n is odd and  $n \ge 9$ . (Covering radius of RM(1, n))

## Balanced Boolean functions with a high nonlinearity

# **Proposition.** [Dobbertin 94]

For balanced functions f of n variables, n even,

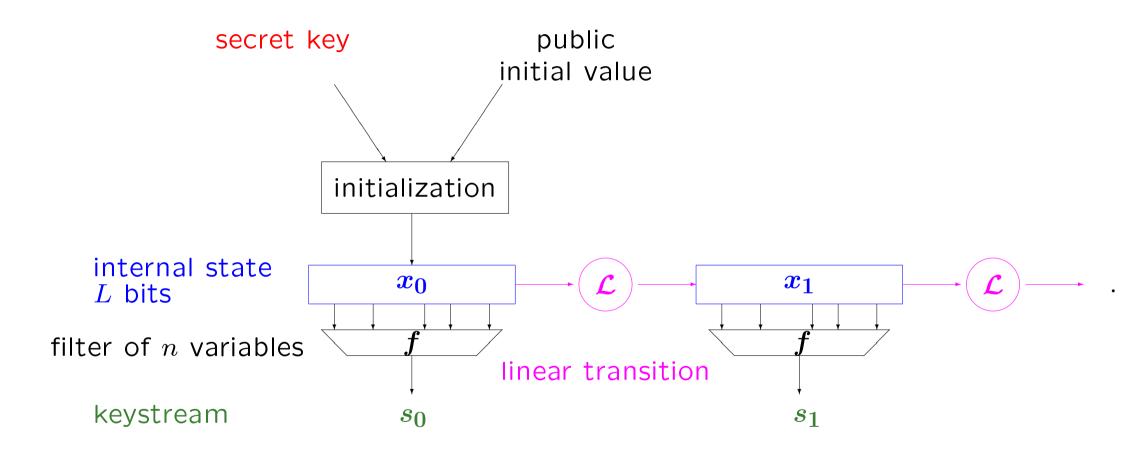
$$2^{rac{n}{2}}+4\leq \min_{f\in \mathcal{B}a\ell_n}\mathcal{L}(f)\leq 2^{rac{n}{2}}+\min_{g\in \mathcal{B}a\ell_{rac{n}{2}}}\mathcal{L}(g)$$

n	$\min_{f\in \mathcal{B}a\ell_n}\mathcal{L}(f)$
4	8
5	8
6	12
7	16
8	20, 24
9	24, 28, 32
10	36, 40

**Open problem.** Find the highest possible nonlinearity for a balanced Boolean function of n variables, where n is even and  $n \ge 8$ .

# Algebraic attacks and related criteria

# Stream cipher with a linear transition function



#### Algebraic attacks [Courtois-Meier 03]

Let 
$$AN(f) = \{g, g(x)f(x) = 0 \text{ for all } x \in F_2^n\}.$$
  
Let  $g \in AN(f)$ , i.e., such that  $g(x)f(x) = 0$  for all  $x$ .  
 $g(x_t)f(x_t) = g(x_t)s_t = 0$   
 $\implies g \circ \mathcal{L}^t(x_0) = 0 \text{ if } s_t = 1.$ 

Let  $h \in AN(1+f)$ , i.e, such that h(x)(1+f(x)) = 0 for all  $x \in F_2^n$ .  $h(x_t)(1+f(x_t)) = h(x_t)(1+s_t) = 0$  $\implies h \circ \mathcal{L}^t(x_0) = 0$  if  $s_t = 0$ .

Algebraic system with L variables of degree  $d = \min\{\deg(g), g \in AN(f) \cup AN(1+f), g 
eq 0\}$  .

#### **Complexity of the attack**

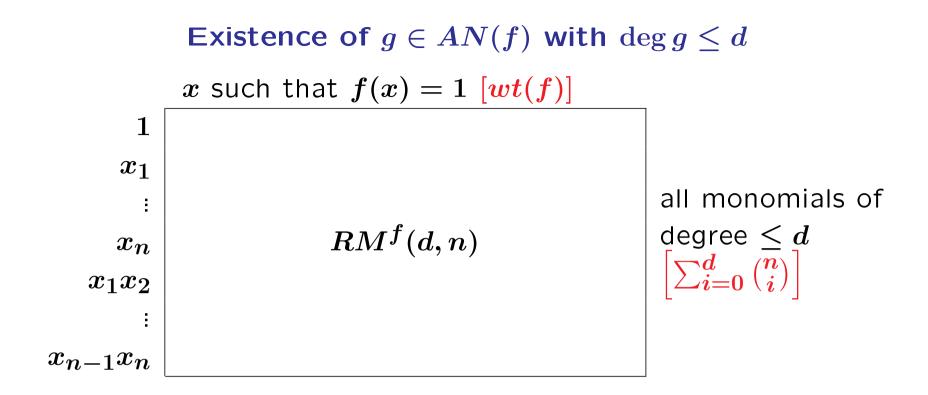
AI(f) = algebraic immunity of the filtering function f $AI(f) = min\{deg(g), g \in AN(f) \cup AN(1+f), g \neq 0\}.$ 

**Required number of keystream bits:** 

$$N \geq rac{2L^{AI(f)}}{AI(f)!(A_0^{AI(f)}+A_1^{AI(f)})}$$

Number of operations:

$$\left(\sum_{i=0}^{AI(f)} {L \choose i} \right)^{\omega} \simeq L^{AI(f)\omega}$$
 where  $\omega \simeq 2.37$ 



$$\dim\{g\in AN(f), \deg g\leq d\} = \sum_{i=0}^d {n \choose i} - \mathrm{rank}\left(RM^f(d,n)
ight) \; .$$

**Proposition.** There exists g 
eq 0 in AN(f) with  $\deg g \leq d$  if

$$wt(f) < \sum_{i=0}^d \binom{n}{i}$$
 .

#### **Bounds on the algebraic immunity** [Courtois-Meier 03][Dalai-Gupta-Maitra 04]

**Proposition.** 

Let f be a Boolean function of n variables. If  $AI(f) \geq d$ , then

$$\sum_{i=0}^d \binom{n}{i} \leq wt(f) \leq 2^n - \sum_{i=0}^d \binom{n}{i}$$

**Corollary.** For any f of n variables,

 $AI(f) \leq \left\lceil rac{n}{2} 
ight
ceil$  .

Moreover, if f has optimal AI, then

- ullet if n is odd,  $wt(f)=2^{n-1}$
- if n is even,

$$2^{n-1} - rac{1}{2} inom{n}{n/2} \leq wt(f) \leq 2^{n-1} + rac{1}{2} inom{n}{n/2}$$

٠

**Proposition.** Let f be a function of n variables. If f has algebraic immunity at least d, then

$$\mathcal{NL}(f) \geq \sum_{i=0}^{d-2} inom{n}{i} \;.$$

Most notably, if f has optimal algebraic immunity, then

$$\mathcal{NL}(f) \geq \left\{egin{array}{ll} 2^{n-1} - inom{n}{rac{n-1}{2}} & ext{if $n$ is odd} \ 2^{n-1} - rac{1}{2}inom{n}{rac{n}{2}} - inom{n}{rac{n-1}{2}-1} & ext{if $n$ is even} \end{array}
ight.$$

The converse does not hold! (e.g. bent functions of degree 2).

# Some practical constructions

# Symmetric functions [C.-Videau05]

**Definition.** A Boolean function is symmetric if its output is invariant under any permutation of its inputs.

 $\iff$  The output only depends on the Hamming weight of the input vector.

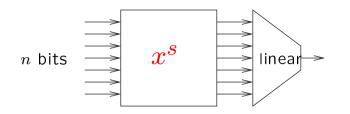
## Implementation.

- A symmetric function of n variables can be represented by a vector of (n+1) bits.
- complexity:  $\mathcal{O}(n)$ .

# Related problems.

- Only a few balanced functions (except those having linear structures).
- Highly nonlinear functions are (close to) quadratic functions.

#### **Components of power functions**



 $S_{\lambda}: x \longmapsto {\sf Tr}(\lambda x^s)$  over  ${
m F}_{2^n}, \ \lambda \in {
m F}_{2^n}^*$ 

**Proposition.** The Hamming weight of  $S_{\lambda}$  is divisible by  $\gcd(s, 2^n - 1)$ . In particular:

- $S_{\lambda}$  is balanced if and only if  $gcd(s, 2^n 1) = 1$ .
- If  $S_{\lambda}$  is bent, then  $\gcd(s, 2^n 1) > 1$ and s is coprime either with  $(2^{\frac{n}{2}} - 1)$  or with  $(2^{\frac{n}{2}} + 1)$ .

#### **Balanced components of power functions**

• For odd n:

$$\mathcal{L}(S_{oldsymbol{\lambda}}) \geq 2^{rac{n+1}{2}}$$

with equality for almost bent (AB) functions [Chabaud-Vaudenay94].

• For even n: it is conjectured that

$$\mathcal{L}(S_{oldsymbol{\lambda}}) \geq 2^{rac{n}{2}+1}$$

# Known AB power functions $S: x \mapsto x^s$ over $\mathbf{F}_{2^n}$ with n = 2t + 1

	exponents $s$	
quadratic	$2^i+1$ with $\gcd(i,n)=1$ ,	[Gold 68],[Nyberg 93]
	$1 \leq i \leq t$	
Kasami	$2^{2i}-2^i+1$ with $\gcd(i,n)=1$	[Kasami 71]
	$2 \leq i \leq t$	
Welch	$2^t+3$	[Dobbertin 98]
		[CCharpin-Dobbertin 00]
Niho	$2^t+2^{t\over 2}-1$ if $t$ is even	[Dobbertin 98]
	$2^t+2^{rac{3t+1}{2}}-1$ if $t$ is odd	[Xiang-Hollmann 01]

# Known power permutations $S: x \mapsto x^s$ over $F_{2^n}$ , n even, with the highest nonlinearity

$2^i+1$ , $\gcd(i,n)=2$	$n\equiv 2 mod 4$	[Gold 68]
$2^{2i} - 2^i + 1$ , $\gcd(i, n) = 2$	$n\equiv 2 mod 2$	[Kasami 71]
$\sum_{i=0}^{n/2} 2^{ik}$ , $\gcd(k,n)=1$	$n\equiv 0 mod 4$	[Dobbertin 98]
$2^{rac{n}{2}}+2^{rac{n+2}{4}}+1$	$n\equiv 2 mod 2$	[Cusick-Dobbertin 95]
$\boxed{2^{\frac{n}{2}} + 2^{\frac{n}{2} - 1} + 1}$	$n\equiv 2 mod 2$	[Cusick-Dobbertin 95]
$2^{rac{n}{2}}+2^{rac{n}{4}}+1$	$n\equiv 4 mod 8$	[Dobbertin 98]
$2^{n-1} - 1$		[Lachaud-Wolfmann 90]

## Conclusions

#### Paradox for hardware-oriented ciphers:

Every Boolean function having a strong algebraic structure is weak. The implementation complexity of almost all *n*-variable Boolean functions is greater than  $2^n/n$ .

 $\longrightarrow$  search for suboptimal functions regarding both the resistance to known attacks and the implementation complexity.